

IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Shaun P. Cooley

SERIAL NO.:

10/686,356

FILING DATE:

October 14, 2003

TITLE:

Countering SPAM That Uses Disguised Characters

EXAMINER:

not yet known

GROUP ART UNIT:

not yet known

ATTY. DKT. NO.:

20423-08165

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

3/24/04

By: Jehn Duni

Jeffrey Brill, Reg. No.: 51,198

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

Pursuant to the provisions of 37 CFR. 1.56 and 1.97-1.98, Applicant hereby cites the references listed on the accompanying PTO-SB/08A without inferring or suggesting, but instead expressly disclaiming any inference or suggestion, that any more pertinent reference exists. Applicant also encloses herewith a copy of the cited references, which are in the English language.

The Commissioner is authorized to charge any fees required in connection with the submission of this IDS to deposit account 19-2555. This sheet is being submitted in duplicate.

Consideration of this listed references and a Notice of Allowance are respectfully requested.

Respectfully submitted,

Jeffrey Brill

Attorney for Applicant

Reg. No. 51,198

Fenwick & West LLP Silicon Valley Center 801 California Street Mountain View, CA 94041 (650) 335-7291

enclosures

cc: Edward J. Radlo (w/ just PTO-SB/08A)

Approved for use through 10/31/2002. OMB 0651-0031.
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO Complete if Known Application No. 10/686,356 INFORMATION DISCLOSURE Filing Date October 14, 2003 STATEMENT BY APPLICANT **First Named Inventor** Shaun P. Cooley Art Unit not yet known **Examiner Name** not yet known Attorney Docket Number Sheet of 2 20423-08165

	U.S. PATENT DOCUMENTS							
		Document No.						
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document				
		US 2003/0033587 A1	02-13-2003	Ferguson et al.				
-		US-6,505,167 B1	01-07-2003	Horvitz et al.				
		US-6,502,082 B1	12-31-2002	Toyama et al.				
		US 2002/0199194 A1	12-26-2002	Ali				
		US 2002/0199186 A1	12-26-2002	Ali et al.				
		US 6,493,007	12-10-2002	Pang				
		US 2002/0147782 A1	10-10-2002	Dimitrova et al.				
		US 2002/0147694 A1	10-10-2002	Dempsey et al.				
		US 2002/0138525 A1	09-26-2002	Karadimitriou et al.				
		US-6,456,991 B1	09-24-2002	Srinivasa et al.				
		US-6,424,960 B1	07-23-2002	Lee et al.				
		US-6,421,709 B1	07-16-2002	McCormick et al.				
		US 2002/0087649 A1	07-04-2002	Horvitz				
		US-6,397,215 B1	05-28-2002	Kreulen et al.				
		US-6,397,200 B1	05-28-2002	Lynch, Jr. et al.				
		US-6,370,526 B1	04-09-2002	Agrawal et al.				
		US 2002/0038308 A1	03-28-2002	Саррі				
		US-6,347,310 B1	02-12-2002	Passera				
		US-6,298,351 B1	10-02-2001	Castelli et al.				
. "		US-6,253,169 B1	06-26-2001	Apte et al.				
		US-6,1677,434	12-26-2000	Pang				
		US-6,161,130	12-12-2000	Horvitz et al.				
		US-6,072,942	06-06-2000	Stockwell et al.				
		US-6,052,709	04-18-2000	Paul				
		US-6,023,723	02-08-2000	McCormick et al.				

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). 2See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Substitute for f	orm 1449	A/PTO	Complete if Known		
INEO	PREATION	פוח ו	CLOSURE	Application No.	10/686,356	
			PLICANT	Filing Date	October 14, 2003	
SIA	I E IAI E IA I E	or Ar	PLICAMI	First Named Inventor	Shaun P. Cooley	
				Art Unit	not yet known	
				Examiner Name	not yet known	
Sheet	2	of	2	Attorney Docket Number	20423-08165	

			U.S. PATE	NT DOCUMENTS		
		Document No.				
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US-5,826,249	10-20-1998	Skeirik		
		US-5,675,710	10-07-1997	Lewis		
			FOREIGN PA	TENT DOCUMENTS		
		Foreign Patent Document				
Examiner Initials*	Cite No. ¹	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶	
		OTHER REFE	RENCES - NON-	 PATENT LITERATURE DOCUMENTS	<u> </u>	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				
		outlook.spambully.com web pages [online]. Spam Bully [retrieved 1/16/2003]. Copyright 2002. Retrieved from the Internet: <pre></pre> <url: about.php="" http:="" outlook.spambully.com=""></url:>				
		cauce.org web pages [onlin	•	Insolicited Commercial Email [retrieved 3/17/2003]. Retrieved from the		

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.